

Formal Proof of Transcendence of the Number e. Part I

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Summary. In this article, we prove the transcendence of the number e using the Mizar formalism [4], along with Hurwitz's proof [11]. This subject has been implemented over the past decade in other theorem provers, such as HOL Light [6] and Coq [5]. This article prepares the necessary definitions and lemmas. The main body of the proof will be presented separately.

At the beginning, we formalize a lemma about algebraic numbers, namely for a polynomial over \mathbb{Z} which has a root equal to e, if suppose e is algebraic, in another word e is not transcendental number as theorem. (see E_TRANS2:41). It corresponds to the equation (3) of [11].

Then, we define a polynomial transformation F. For a polynomial f over \mathbb{Q} with degree r, we introduce a functor: (see E_TRANS1:def 11)

$$F: f(x) \mapsto f(x) + f'(x) + f''(x) + \dots + f^{(r)}(x)$$

In Hurwitz's proof he defines $F(x) = f(x) + f'(x) + f''(x) + \cdots + f^{(r)}(x)$ as equation (1) in [11]. In the actual formalization for constructing F we generate a finite sequence of polynomials defined by $G = \{f^{(i)}(x)\}$, then F is formalized as the summation of it, namely F = SumG. Since higher order derivations for a ring have been implemented in [30], we are able to formalize i^{th} component of G. Then we apply the mean value theorem to $e^x F(x)$ on an interval and formalize the following equation quoted as equation (2) in [11]: (see E_TRANS1:34)

$$F(x) - e^{x}F(0) = -xe^{(1-\vartheta)x}f(\vartheta x).$$

The rest of the section is devoted to preparing lemmas to define the particular polynomial $f(x) = \frac{1}{(p-1)!} x^{p-1} (1-x)^p (2-x)^p \cdots (n-x)^p$ which play an important role of the main proof.

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1. Preliminaries

From now on n, k denote natural numbers, L denotes a commutative ring, R denotes an integral domain, and x_0 denotes a positive real number.

The functor $\frac{1}{\exp_R}$ yielding a function from \mathbb{R} into \mathbb{R} is defined by the term

(Def. 1) $\frac{1}{\text{the function exp}}$.

One can verify that $\frac{1}{\exp_R}$ is differentiable as a function from \mathbb{R} into \mathbb{R} and the function exp is differentiable as a function from \mathbb{R} into \mathbb{R} .

Now we state the propositions:

(1) Let us consider natural numbers n, m, and an element b of R. Then $(n \cdot m) \cdot b = n \cdot (m \cdot b)$.

PROOF: Define $\mathcal{P}[\text{natural number}] \equiv (\$_1 \cdot m) \cdot b = \$_1 \cdot (m \cdot b)$. For every natural number n such that $\mathcal{P}[n]$ holds $\mathcal{P}[n+1]$ by [22, (15), (13)]. For every natural number n, $\mathcal{P}[n]$ from [2, Sch. 2]. \Box

(2) Let us consider finite sequences F, G of elements of \mathbb{R}_{F} . Suppose len F = len G and for every natural number i such that $i \in \mathrm{dom} \, F$ holds $F(i) \leq G(i)$. Then $\sum F \leq \sum G$. PROOF: $\sum F \leq \sum G$ by [3, (4), (59)], [2, (11)], [3, (5)]. \Box

Now we state the propositions:

(3) GENERALIZATION OF ZMATRLIN:42:

Let us consider an ideal I of L, and a finite sequence F of elements of L. Suppose for every natural number i such that $i \in \text{dom } F$ holds $F(i) \in I$. Then $\sum F \in I$.

PROOF: Define $\mathcal{P}[\text{natural number}] \equiv \text{for every finite sequence } F$ of elements of L such that len $F = \$_1$ and for every natural number i such that $i \in \text{dom } F$ holds $F(i) \in I$ holds $\sum F \in I$. $\mathcal{P}[0]$ by [29, (43)], [1, (2)]. For every natural number n such that $\mathcal{P}[n]$ holds $\mathcal{P}[n+1]$ by [3, (4), (59)], [2, (11)], [3, (5)]. For every natural number n, $\mathcal{P}[n]$ from [2, Sch. 2]. \Box

(4) Let us consider an element a of L, and a non empty finite sequence p of elements of the carrier of L. Suppose for every natural number j such that $j \in \text{dom } p$ holds $a \mid p_{/j}$. Then $a \mid \sum p$. PROOF: For every natural number i such that $i \in \text{dom } p$ holds $p(i) \in \{a\}$ -ideal by [13, (18)]. \Box

Let k, j be natural numbers. The functor $\eta_{k,j}$ yielding an element of N is defined by the term

(Def. 2) $\frac{k!}{(k-j)!}$.

Now we state the proposition:

(5) Let us consider natural numbers k, j. If $j \leq k$, then $j! \cdot \binom{k}{j} = \eta_{k,j}$.

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Let R be a $(\mathbb{Z}^{\mathbb{R}})$ -extending commutative ring and i be an integer. One can verify that $i \in \mathbb{R}$ reduces to i.

Now we state the propositions:

- (6) Let us consider a natural number n, and an element f of the carrier of Polynom-Ring F_Q. Then n · f = n(∈ F_Q) · f.
 PROOF: Define P[natural number] ≡ \$₁ · f = \$₁(∈ F_Q) · f. P[0] by [17, (26)], [22, (12)]. For every natural number k such that P[k] holds P[k+1] by [10, (7)], [22, (13), (15)]. For every natural number k, P[k] from [2, Sch. 2]. □
- (7) Let us consider a natural number n, and elements f, g of L. If $f \mid g$, then $f \mid n \cdot g$.

Let R be an add-associative, right zeroed, right complementable, distributive, non empty double loop structure and f be an element of the carrier of Polynom-Ring R. The functor $\frown f$ yielding a polynomial over R is defined by the term

(Def. 3) f.

Let p be a polynomial over R. The functor \hat{p} yielding an element of the carrier of Polynom-Ring R is defined by the term

(Def. 4) p.

Observe that there exists a finite sequence of elements of $\mathbb{F}_{\mathbb{Q}}$ which is \mathbb{Z} -valued and $\mathbf{0}.\mathbb{F}_{\mathbb{Q}}$ is \mathbb{Z} -valued and $\mathbf{1}.\mathbb{F}_{\mathbb{Q}}$ is \mathbb{Z} -valued and there exists a polynomial over $\mathbb{F}_{\mathbb{Q}}$ which is monic and \mathbb{Z} -valued.

Now we state the proposition:

(8) Let us consider an element f of the carrier of Polynom-Ring R. Then rng $f = f^{\circ}(\text{Support } f) \cup \{0_R\}.$

PROOF: For every object y such that $y \in f^{\circ}(\mathbb{N} \setminus (\text{Support } f))$ holds $y \in \{0_R\}$. For every object y such that $y \in \{0_R\}$ holds $y \in f^{\circ}(\mathbb{N} \setminus (\text{Support } f))$ by [18, (8)]. \Box

Let f be an element of the carrier of Polynom-Ring $\mathbb{F}_{\mathbb{Q}}$. The functor denomi-set(f) yielding a non empty, finite subset of \mathbb{N} is defined by the term

(Def. 5) $(\text{TRANQN})^{\circ}(\text{rng } f)$.

The functor denomi-seq(f) yielding a non empty finite sequence of elements of \mathbb{N} is defined by the term

(Def. 6) CFS(denomi-set(f)).

Now we state the propositions:

(9) Let us consider an element f of the carrier of Polynom-Ring $\mathbb{F}_{\mathbb{Q}}$. Then $\prod \text{denomi-seq}(f)$ is not zero.

- (10) Let us consider an element f of the carrier of Polynom-Ring $\mathbb{F}_{\mathbb{Q}}$, and a natural number i. Then
 - (i) den $f(i) \in \text{denomi-set}(f)$, and
 - (ii) there exists an integer z such that $z \cdot (\operatorname{den} f(i)) = \prod \operatorname{denomi-seq}(f)$.
- (11) Let us consider fields K, L, and an element w of L. Suppose K is a subring of L and w is integral over K. Then AnnPoly(w, K) is maximal.
- (12) Let us consider an element f of Polynom-Ring $\mathbb{F}_{\mathbb{Q}}$, and a non zero natural number n. If f is irreducible, then $n \cdot f$ is irreducible. The theorem is a consequence of (7) and (6).
- (13) Let us consider an element x of \mathbb{R}_{F} . Suppose x is irrational. Let us consider a non zero polynomial g over $\mathbb{F}_{\mathbb{Q}}$. If $\mathrm{ExtEval}(g, x) = 0$, then $\mathrm{deg}(g) \geq 2$.

2. Some Properties of Algebraic Numbers

Now we state the proposition:

- (14) Let us consider a polynomial g over $\mathbb{F}_{\mathbb{Q}}$. Suppose $\deg(g) \ge 2$ and \hat{g} is irreducible. Then $g(0) \ne 0_{\mathbb{F}_{\mathbb{Q}}}$. PROOF: Reconsider $g_1 =$ NormPoly \hat{g} as a polynomial over $\mathbb{F}_{\mathbb{Q}}$. $g_1(0) \ne 0_{\mathbb{F}_{\mathbb{Q}}}$ by [17, (31)], [21, (50)], [17, (40)], [25, (30), (37)].
 - 3. Constructing Polynomial Transformation 'F'

Now we state the propositions:

- (15) Let us consider a non degenerated integral domain L, a non zero natural number n, and a non zero element a of L. If char(L) = 0, then $n \cdot a \neq 0_L$.
- (16) Let us consider a commutative ring R, an element f of the carrier of Polynom-Ring R, and a natural number i. Suppose $i \ge 1$ and the length of f is at most i and $f(i-1) \ne 0_R$. Then len f = i. PROOF: For every natural number i such that $i \ge 1$ and the length of f is at most i and $f(i-1) \ne 0_R$ holds len f = i by [2, (13)], [18, (8)]. \Box
- (17) Let us consider an integral domain R, and an element f of the carrier of Polynom-Ring R. Suppose len f > 1 and char(R) = 0. Then len(Der1(R))(f) = len f 1.

PROOF: Reconsider $l_1 = \text{len } f - 1$ as a natural number. For every natural number *i* such that $i \ge l_1$ holds $(\text{Der1}(R))(f)(i) = 0_R$ by [18, (8)]. \Box

- (18) Let us consider an integral domain L, a derivation D of L, an element f of the carrier of L, and natural numbers j, n. Then $D^n(j \cdot f) = j \cdot D^n(f)$. PROOF: For every element f of the carrier of L and for every natural numbers j, n, $D^n(j \cdot f) = j \cdot D^n(f)$ by [19, (18)], [30, (9), (6)]. \Box
- (19) Let us consider a natural number k, and an element f of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$. Suppose $(\text{Der1}(\mathbb{Z}^{\mathbb{R}}))^{1}(f^{1}) = 1_{\text{Polynom-Ring }\mathbb{Z}^{\mathbb{R}}}$. Let us consider a natural number j. Suppose $1 \leq j \leq k$. Then $(\text{Der1}(\mathbb{Z}^{\mathbb{R}}))^{j}(f^{k}) =$ $\eta_{k,j} \cdot f^{k-j}$. PROOF: Set $D = \text{Der1}(\mathbb{Z}^{\mathbb{R}})$. Define $\mathcal{P}[\text{natural number}] \equiv \text{for every natural}$

PROOF: Set $D = \text{Der1}(\mathbb{Z}^{k})$. Define $\mathcal{P}[\text{natural number}] \equiv \text{for every natural number } j \equiv \text{for every natural number } j = \eta_{\$_1,j} \cdot f^{\$_1-'j}$. For every natural number k such that for every natural number n such that n < k holds $\mathcal{P}[n]$ holds $\mathcal{P}[k]$ by [19, (19)], [30, (7)], [15, (15)], [19, (20)]. For every natural number k, $\mathcal{P}[k]$ from [2, Sch. 4]. \Box

- (20) Let us consider a natural number k, and an element f of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$. Suppose $(\text{Der1}(\mathbb{Z}^{\mathbb{R}}))^{1}(f^{1}) = 1_{\text{Polynom-Ring }\mathbb{Z}^{\mathbb{R}}}$. Then $(\text{Der1}(\mathbb{Z}^{\mathbb{R}}))^{k}(f^{k}) = k! \cdot (1_{\text{Polynom-Ring }\mathbb{Z}^{\mathbb{R}}})$. The theorem is a consequence of (19).
- (21) Let us consider a natural number j. Suppose j > k. Let us consider an element f of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$. Suppose $(\text{Der1}(\mathbb{Z}^{\mathbb{R}}))^1(f^1) = 1_{\text{Polynom-Ring }\mathbb{Z}^{\mathbb{R}}}$. Then $(\text{Der1}(\mathbb{Z}^{\mathbb{R}}))^j(f^k) = 0_{\text{Polynom-Ring }\mathbb{Z}^{\mathbb{R}}}$. PROOF: Set L = Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$. Set D = Der1($\mathbb{Z}^{\mathbb{R}}$). For every element f of the carrier of L such that $D^1(f^1) = 1_{\text{Polynom-Ring }\mathbb{Z}^{\mathbb{R}}}$ holds $D^j(f^k) = 0_L$ by [26, (3)], [2, (14)], [19, (20)], [9, (15)]. \Box
- (22) Let us consider an integral domain R, an element f of the carrier of Polynom-Ring R, a natural number k, and a natural number i. Then $(\text{Der1}(R))^k(f)(i) = \eta_{i+k,k} \cdot f(i+k)$. PROOF: Set D = Der1(R). Define $\mathcal{P}[\text{natural number}] \equiv \text{for every natural}$ number i for every natural number i, $D^{\$_1}(f)(i) = \eta_{i+\$_1,\$_1} \cdot f(i+\$_1)$. For every natural number k such that $\mathcal{P}[k]$ holds $\mathcal{P}[k+1]$ by [30, (9)], (1). For every natural number i, $D^0(f)(i) = \eta_{i+0,0} \cdot f(i+0)$ by [19, (18)], [22, (13)]. For every natural number k, $\mathcal{P}[k]$ from [2, Sch. 2]. \Box
- (23) Let us consider a function h from R into R, and a finite sequence s of elements of the carrier of R. If h is additive, then $h(\sum s) = \sum h \cdot s$. PROOF: Define $\mathcal{P}[\text{natural number}] \equiv$ for every function h from R into R for every finite sequence s of elements of R such that len $s = \$_1$ and h is additive holds $h(\sum s) = \sum h \cdot s$. $\mathcal{P}[0]$ by [29, (75)], [13, (6)], [31, (27)], [29, (43)]. For every natural number n such that $\mathcal{P}[n]$ holds $\mathcal{P}[n+1]$ by [8, (3)], [3, (4), (59)], [2, (11)]. For every natural number n, $\mathcal{P}[n]$ from [2,

Sch. 2]. \Box

(24) Let us consider an integral domain R, an element f of the carrier of Polynom-Ring R, and a natural number j. Suppose len f > j and char(R) = 0. Then len (Der1(R))^j(f) = len f - j.
PROOF: Reconsider l₁ = len f - 1 as a natural number. Reconsider l₃ =

PROOF: Reconsider $l_1 = \text{Ien } j - 1$ as a natural number. Reconsider $l_3 = \text{len } f - j$ as a natural number. Reconsider $l_4 = l_3 - 1$ as a natural number. Reconsider $l_5 = \binom{l_4+j}{l_4} \cdot (j!)$ as a natural number. $\eta_{l_4+j,j} = \binom{l_4+j}{j} \cdot (j!)$. $(\text{Der1}(R))^j(f)(l_4) = l_5 \cdot f(l_1)$. For every natural number i such that $i \ge l_3$ holds $(\text{Der1}(R))^j(f)(i) = 0_R$ by [18, (8)], (22). \Box

Let p be an element of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$. The functor [@]p yielding an element of the carrier of Polynom-Ring $\mathbb{R}_{\mathcal{F}}$ is defined by the term

(Def. 7) p.

Let F be a finite sequence of elements of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$. The functor [@]F yielding a finite sequence of elements of the carrier of Polynom-Ring $\mathbb{R}_{\mathbb{F}}$ is defined by

(Def. 8) dom it = dom F and for every natural number i such that $i \in \text{dom } F$ holds $it(i) = {}^{@}F_{/i}$.

Let L be a commutative ring, F be a finite sequence of elements of the carrier of Polynom-Ring L, and x be an element of L. The functor eval(F, x) yielding a finite sequence of elements of the carrier of L is defined by

(Def. 9) dom it = dom F and for every natural number i such that $i \in \text{dom } F$ holds $it(i) = \text{eval}(\frown F_{i}, x)$.

Now we state the propositions:

- (25) Let us consider a natural number N_0 , a commutative ring L, a finite sequence F of elements of the carrier of Polynom-Ring L, and an element x of L. Suppose len $F = N_0 + 1$. Then $\operatorname{eval}(F, x) = \operatorname{eval}(F \upharpoonright N_0, x) \land$ $\langle \operatorname{eval}(\frown F_{/\operatorname{len} F}, x) \rangle$. PROOF: For every natural number k such that $1 \leq k \leq \operatorname{len} \operatorname{eval}(F, x)$ holds $(\operatorname{eval}(F, x))(k) = (\operatorname{eval}(F \upharpoonright N_0, x) \land \langle \operatorname{eval}(\frown F_{/\operatorname{len} F}, x) \rangle)(k)$ by [3, (9)], [27,
- (18)], [8, (47)], [3, (6), (4)]. □
 (26) Let us consider a commutative ring L, a finite sequence F of elements of the carrier of Polynom-Ring L, and an element x of L. Then eval(∽∑F, x) = ∑ eval(F, x). The theorem is a consequence of (25).
- (27) Let us consider elements p, q of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$. Then

(i)
$$^{@}(p+q) = ^{@}p + ^{@}q$$
, and

(ii) $p \cdot q = (p) \cdot (q)$.

Let f be an element of the carrier of Polynom-Ring \mathbb{Z}^{R} . The functor $\mathcal{G} f$ yielding a finite sequence of elements of the carrier of Polynom-Ring \mathbb{Z}^{R} is defined by

(Def. 10) len it = len f and for every natural number i such that $i \in \text{dom } it$ holds $it(i) = (\text{Der1}(\mathbb{Z}^{\mathbb{R}}))^{i-1}(f).$

Now we state the propositions:

(28) Let us consider a finite sequence F of elements of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$, an element x of $\mathbb{Z}^{\mathbb{R}}$, and an element x_1 of $\mathbb{R}_{\mathbb{F}}$. If $x = x_1$, then $\operatorname{eval}({}^{\textcircled{0}}F, x_1) = \operatorname{eval}(F, x)$.

PROOF: For every natural number i such that $i \in \text{dom}(\text{eval}({}^{@}F, x_1))$ holds $(\text{eval}({}^{@}F, x_1))(i) = (\text{eval}(F, x))(i)$ by [8, (3)], [23, (27)]. \Box

- (29) Let us consider a finite sequence F of elements of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$. Then $\sum {}^{@}F = {}^{@}\sum F$. The theorem is a consequence of (27).
- (30) Let us consider an element x_0 of $\mathbb{Z}^{\mathbb{R}}$, an element x of $\mathbb{R}_{\mathbb{F}}$, and a finite sequence F of elements of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$. Suppose $x = x_0$. Then $(\operatorname{Eval}(\widehat{\ } F))(x) = \sum \operatorname{eval}(F, x_0)$. The theorem is a consequence of (28), (29), and (26).

The Definition below corresponds to the Transformation (1) in [?]

Let f be an element of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$. The functor $\mathcal{F} f$ yielding a function from \mathbb{R} into \mathbb{R} is defined by the term

(Def. 11) $\operatorname{Eval}(\curvearrowleft^{@} \Sigma \mathcal{G} f).$

4. Construct the Equation (2) in [11]

Now we state the proposition:

(31) Let us consider an element p of the carrier of Polynom-Ring \mathbb{R}_{F} . Then $\mathrm{Eval}(\mathfrak{p})' = \mathrm{Eval}(\mathfrak{p})(\mathrm{Der1}(\mathbb{R}_{\mathrm{F}}))(p)).$

PROOF: Set $D_1 = \text{Der1}(\mathbb{R}_F)$. Define $\mathcal{P}[\text{natural number}] \equiv \text{for every element } p$ of the carrier of Polynom-Ring \mathbb{R}_F such that $\text{len} \frown p \leq \$_1$ holds Eval $(\frown p)$ '| = Eval $(\frown D_1(p))$. $\mathcal{P}[0]$ by [16, (5)], [24, (58)], [12, (52), (54)]. If $\mathcal{P}[n]$, then $\mathcal{P}[n+1]$ by [12, (36)], [16, (3)], [12, (37), (55), (14)]. $\mathcal{P}[n]$ from [2, Sch. 2]. \Box

Let f be an element of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$. The functor $\Phi(f)$ yielding a function from \mathbb{R} into \mathbb{R} is defined by the term

(Def. 12) $\frac{1}{\exp_R} \cdot \mathcal{F} f.$

Note that $\mathcal{F} f$ is differentiable as a function from \mathbb{R} into \mathbb{R} .

Let us consider an element f of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$. Now we state the propositions:

(32) $\left(\frac{1}{\exp_{R}} \cdot \mathcal{F} f\right) \upharpoonright [0, x_{0}]$ is continuous.

PROOF: Set $f_1 = \frac{1}{\text{the function exp}}$. Set $f_2 = \mathcal{F}f$. For every real number r such that $r \in \text{dom}((f_1 \cdot f_2) \upharpoonright [0, x_0])$ holds $(f_1 \cdot f_2) \upharpoonright [0, x_0]$ is continuous in r by [14, (45)], [20, (7)]. \Box

- (33) $\frac{1}{\exp_R} \cdot \mathcal{F} f$ is differentiable on $]0, x_0[$. Now we state the proposition:
- (34) The following theorem corresponds to the equation (2) in [?].:

Let us consider an element f of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$, and a positive real number x_0 . Suppose len f > 0. Then there exists a real number s such that

- (i) 0 < s < 1, and
- (ii) $(\mathcal{F}f)(x_0) (\text{the function exp})(x_0) \cdot (\mathcal{F}f)(0) = -x_0 \cdot (\text{the function exp})(x_0 \cdot (1 x_0)) \cdot (\mathcal{F}f)(0) = -x_0 \cdot (1 x_0) \cdot (1 x$

Now we state the proposition:

(35) RING EXTENDED VERSION OF FIELD_13:13.: Let us consider an integral domain F, a ring extension E of F, a polynomial p over F, a polynomial q over E, an element a of F, and an element b of E. If p = q and a = b, then $a \cdot p = b \cdot q$.

Now we state the propositions:

- (36) RING EXTENSION VERSION OF REALALG3:16.: Let us consider an integral domain F, a domain ring extension E of F, a polynomial p over F, an element a of F, and elements x, b of E. If b = a, then $\text{ExtEval}(a \cdot p, x) = b \cdot (\text{ExtEval}(p, x))$. The theorem is a consequence of (35).
- (37) Let us consider a non degenerated commutative ring L, a non empty finite sequence F of elements of the carrier of Polynom-Ring L, and an element x of L. Then $eval(\bigcap F, x) = \prod eval(F, x)$. PROOF: For every non zero natural number k such that len F = k holds

 $eval(\frown \prod F, x) = \prod eval(F, x)$ by $[8, (3)], [3, (40)], [28, (9)], [7, (19)]. \square$

(38) Let us consider a non empty finite sequence F of elements of the carrier of Polynom-Ring $\mathbb{Z}^{\mathbb{R}}$, and an element x of $\mathbb{R}_{\mathbb{F}}$. Then $\operatorname{eval}(\curvearrowleft^{@}\Pi F, x) = \prod \operatorname{eval}({}^{@}F, x)$. The theorem is a consequence of (37).

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