

# Minimization of Finite State Machines <sup>1</sup>

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**Summary.** We have formalized deterministic finite state machines closely following the textbook [9], pp. 88–119 up to the minimization theorem. In places, we have changed the approach presented in the book as it turned out to be too specific and inconvenient. Our work also revealed several minor mistakes in the book. After defining a structure for an outputless finite state machine, we have derived the structures for the transition assigned output machine (Mealy) and state assigned output machine (Moore). The machines are then proved similar, in the sense that for any Mealy (Moore) machine there exists a Moore (Mealy) machine producing essentially the same response for the same input. The rest of work is then done for Mealy machines. Next, we define equivalence of machines, equivalence and  $k$ -equivalence of states, and characterize a process of constructing for a given Mealy machine, the machine equivalent to it in which no two states are equivalent. The final, minimization theorem states:

**Theorem 4.5:** Let  $M_1$  and  $M_2$  be reduced, connected finite-state machines. Then the state graphs of  $M_1$  and  $M_2$  are isomorphic if and only if  $M_1$  and  $M_2$  are equivalent.

and it is the last theorem in this article.

MML Identifier: FSM\_1.

The papers [19], [23], [10], [2], [21], [13], [16], [8], [20], [18], [24], [5], [6], [7], [22], [3], [4], [1], [14], [17], [12], [11], and [15] provide the terminology and notation for this paper.

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## 1. PRELIMINARIES

For simplicity we adopt the following convention:  $m, n, i, k$  will denote natural numbers,  $D$  will denote a non empty set,  $d$  will denote an element of  $D$ , and  $d_1, d_2$  will denote finite sequences of elements of  $D$ .

Next we state several propositions:

- (1) If  $m < n$ , then there exists a natural number  $p$  such that  $n = m + p$  and  $1 \leq p$ .
- (2) If  $i \in \text{Seg } n$ , then  $i + m \in \text{Seg}(n + m)$ .
- (3) If  $i > 0$  and  $i + m \in \text{Seg}(n + m)$ , then  $i \in \text{Seg } n$  and  $i \in \text{Seg}(n + m)$ .
- (4) If  $k < i$ , then there exists a natural number  $j$  such that  $j = i - k$  and  $1 \leq j$ .
- (5) If  $1 \leq \text{len } d_1$ , then there exist  $d, d_2$  such that  $d = d_1(1)$  and  $d_1 = \langle d \rangle \hat{\ } d_2$ .
- (6) If  $i \in \text{dom } d_1$ , then  $(\langle d \rangle \hat{\ } d_1)(i + 1) = d_1(i)$ .

For simplicity we adopt the following rules:  $S$  is a set,  $D_1, D_2$  are non empty sets,  $f_1$  is a function from  $S$  into  $D_1$ , and  $f_2$  is a function from  $D_1$  into  $D_2$ .

One can prove the following propositions:

- (7) If  $f_1$  is bijective and  $f_2$  is bijective, then  $f_2 \cdot f_1$  is bijective.
- (8) For every set  $Y$  and for all equivalence relations  $E_1, E_2$  of  $Y$  such that Classes  $E_1 = \text{Classes } E_2$  holds  $E_1 = E_2$ .
- (9) For every non empty set  $W$  holds every partition of  $W$  is non empty.
- (10) For every finite set  $Z$  holds every partition of  $Z$  is finite.

Let  $W$  be a non empty set. Note that every partition of  $W$  is non empty.

Let  $Z$  be a finite set. Note that every partition of  $Z$  is finite.

Let  $X$  be a non empty finite set. Observe that there exists a partition of  $X$  which is non empty and finite.

We adopt the following rules:  $X, A$  will be non empty finite sets,  $P_1$  will be a partition of  $X$ , and  $P_2, P_3$  will be partitions of  $A$ .

We now state several propositions:

- (11) For every set  $P_4$  such that  $P_4 \in P_1$  there exists an element  $x$  of  $X$  such that  $x \in P_4$ .
- (12)  $\text{card } P_1 \leq \text{card } X$ .
- (13) If  $P_2$  is finer than  $P_3$ , then  $\text{card } P_3 \leq \text{card } P_2$ .
- (14) If  $P_2$  is finer than  $P_3$ , then for every element  $p_2$  of  $P_3$  there exists an element  $p_1$  of  $P_2$  such that  $p_1 \subseteq p_2$ .
- (15) If  $P_2$  is finer than  $P_3$  and  $\text{card } P_2 = \text{card } P_3$ , then  $P_2 = P_3$ .

## 2. DEFINITIONS AND TERMINOLOGY

Let  $I_1$  be a non empty set. We consider FSM over  $I_1$  as systems

$\langle \text{states, a Tran, a InitS} \rangle$ ,

where the states constitute a finite non empty set, the Tran is a function from [the states,  $I_1$ ] into the states, and the InitS is an element of the states.

Let  $I_1$  be a non empty set and let  $f_3$  be a FSM over  $I_1$ . A state of  $f_3$  is an element of the states of  $f_3$ .

For simplicity we follow a convention:  $I_1, O_1$  are non empty sets,  $f_3$  is a FSM over  $I_1$ ,  $s$  is an element of  $I_1$ ,  $w, w_1, w_2$  are finite sequences of elements of  $I_1$ ,  $q, q', q_1, q_2$  are states of  $f_3$ , and  $q_3$  is a finite sequence of elements of the states of  $f_3$ .

Let us consider  $I_1, f_3, s, q$ . The functor  $s\text{-succ}(q)$  yielding a state of  $f_3$  is defined by:

(Def.1)  $s\text{-succ}(q) = (\text{the Tran of } f_3)(\langle q, s \rangle)$ .

Let us consider  $I_1, f_3, q, w$ . The functor  $(q, w)\text{-admissible}$  yields a finite sequence of elements of the states of  $f_3$  and is defined by the conditions (Def.2).

(Def.2) (i)  $(q, w)\text{-admissible}(1) = q$ ,  
(ii)  $\text{len}((q, w)\text{-admissible}) = \text{len } w + 1$ , and  
(iii) for every  $i$  such that  $1 \leq i$  and  $i \leq \text{len } w$  there exists an element  $w_3$  of  $I_1$  and there exist states  $q_4, q_5$  of  $f_3$  such that  $w_3 = w(i)$  and  $q_4 = (q, w)\text{-admissible}(i)$  and  $q_5 = (q, w)\text{-admissible}(i + 1)$  and  $w_3\text{-succ}(q_4) = q_5$ .

The following proposition is true

(16)  $(q, \varepsilon_{(I_1)})\text{-admissible} = \langle q \rangle$ .

Let us consider  $I_1, f_3, w, q_1, q_2$ . The predicate  $q_1 \xrightarrow{w} q_2$  is defined as follows:

(Def.3)  $(q_1, w)\text{-admissible}(\text{len } w + 1) = q_2$ .

We now state the proposition

(17)  $q \xrightarrow{\varepsilon_{(I_1)}} q$ .

Let us consider  $I_1, f_3, w, q_3$ . We say that  $q_3$  is admissible for  $w$  if and only if:

(Def.4) There exists  $q_1$  such that  $q_1 = q_3(1)$  and  $(q_1, w)\text{-admissible} = q_3$ .

We now state the proposition

(18)  $\langle q \rangle$  is admissible for  $\varepsilon_{(I_1)}$ .

Let us consider  $I_1, f_3, q, w$ . The functor  $w\text{-succ}(q)$  yields a state of  $f_3$  and is defined by:

(Def.5)  $q \xrightarrow{w} w\text{-succ}(q)$ .

One can prove the following propositions:

(19)  $(q, w)\text{-admissible}(\text{len}((q, w)\text{-admissible})) = q'$  iff  $q \xrightarrow{w} q'$ .

(20) For every  $k$  such that  $1 \leq k$  and  $k \leq \text{len } w_1$  holds  $(q_1, w_1 \wedge w_2)\text{-admissible}(k) = (q_1, w_1)\text{-admissible}(k)$ .

- (21) If  $q_1 \xrightarrow{w_1} q_2$ , then  $(q_1, w_1 \hat{\ } w_2)$ -admissible $(\text{len } w_1 + 1) = q_2$ .
- (22) If  $q_1 \xrightarrow{w_1} q_2$ , then for every  $k$  such that  $1 \leq k$  and  $k \leq \text{len } w_2 + 1$  holds  $(q_1, w_1 \hat{\ } w_2)$ -admissible $(\text{len } w_1 + k) = (q_2, w_2)$ -admissible $(k)$ .
- (23) If  $q_1 \xrightarrow{w_1} q_2$ , then  $(q_1, w_1 \hat{\ } w_2)$ -admissible =  $((q_1, w_1)$ -admissible $_{|\text{len } w_1 + 1}) \hat{\ } (q_2, w_2)$ -admissible.

### 3. MEALY AND MOORE MACHINES

Let  $I_1, O_1$  be non empty sets. We consider Mealy-FSM over  $I_1, O_1$  as extensions of FSM over  $I_1$  as systems

$\langle \text{states, a Tran, a OFun, a InitS} \rangle$ ,

where the states constitute a finite non empty set, the Tran is a function from  $[\text{the states, } I_1]$  into the states, the OFun is a function from  $[\text{the states, } I_1]$  into  $O_1$ , and the InitS is an element of the states. We introduce Moore-FSM over  $I_1, O_1$  which are extensions of FSM over  $I_1$  and are systems

$\langle \text{states, a Tran, a OFun, a InitS} \rangle$ ,

where the states constitute a finite non empty set, the Tran is a function from  $[\text{the states, } I_1]$  into the states, the OFun is a function from the states into  $O_1$ , and the InitS is an element of the states.

For simplicity we adopt the following convention:  $t_1, t_2, t_3, t_4$  will denote Mealy-FSM over  $I_1, O_1$ ,  $s_1$  will denote a Moore-FSM over  $I_1, O_1$ ,  $q_6$  will denote a state of  $s_1$ ,  $q, q_1, q_2, q_7, q_8, q_9, q_{10}, q'_{10}, q_{11}, q_{12}, q_{13}$  will denote states of  $t_1$ ,  $q_{14}, q_{15}$  will denote states of  $t_2$ , and  $q_{21}, q_{22}$  will denote states of  $t_3$ .

Let us consider  $I_1, O_1, t_1, q_{11}, w$ . The functor  $(q_{11}, w)$ -response yields a finite sequence of elements of  $O_1$  and is defined as follows:

- (Def.6)  $\text{len}((q_{11}, w)$ -response) =  $\text{len } w$  and for every  $i$  such that  $i \in \text{dom } w$  holds  $(q_{11}, w)$ -response $(i) = (\text{the OFun of } t_1)((q_{11}, w)$ -admissible $(i), w(i))$ .

The following proposition is true

- (24)  $(q_{11}, \varepsilon_{(I_1)})$ -response =  $\varepsilon_{(O_1)}$ .

Let us consider  $I_1, O_1, s_1, q_6, w$ . The functor  $(q_6, w)$ -response yields a finite sequence of elements of  $O_1$  and is defined by:

- (Def.7)  $\text{len}((q_6, w)$ -response) =  $\text{len } w + 1$  and for every  $i$  such that  $i \in \text{Seg}(\text{len } w + 1)$  holds  $(q_6, w)$ -response $(i) = (\text{the OFun of } s_1)((q_6, w)$ -admissible $(i))$ .

One can prove the following propositions:

- (25)  $(q_6, w)$ -response $(1) = (\text{the OFun of } s_1)(q_6)$ .
- (26) If  $q_{12} \xrightarrow{w_1} q_{13}$ , then  $(q_{12}, w_1 \hat{\ } w_2)$ -response =  $(q_{12}, w_1)$ -response  $\hat{\ } (q_{13}, w_2)$ -response.
- (27) If  $q_{14} \xrightarrow{w_1} q_{15}$  and  $q_{21} \xrightarrow{w_1} q_{22}$  and  $(q_{15}, w_2)$ -response  $\neq (q_{22}, w_2)$ -response, then  $(q_{14}, w_1 \hat{\ } w_2)$ -response  $\neq (q_{21}, w_1 \hat{\ } w_2)$ -response.

In the sequel  $O_2$  is a finite non empty set,  $t_5$  is a Mealy-FSM over  $I_1, O_2$ , and  $s_2$  is a Moore-FSM over  $I_1, O_2$ .

Let us consider  $I_1, O_1, t_1, s_1$ . We say that  $t_1$  is similar to  $s_1$  if and only if the condition (Def.8) is satisfied.

(Def.8) Let  $t_6$  be a finite sequence of elements of  $I_1$ . Then  $\langle\langle$ the OFun of  $s_1$  $\rangle\rangle$ (the InitS of  $s_1$ )  $\wedge$  (the InitS of  $t_1, t_6$ )-response = (the InitS of  $s_1, t_6$ )-response.

The following propositions are true:

- (28) There exists  $t_1$  which is similar to  $s_1$ .
- (29) There exists  $s_2$  such that  $t_5$  is similar to  $s_2$ .

#### 4. EQUIVALENCE OF STATES AND MACHINES

Let us consider  $I_1, O_1, t_2, t_3$ . We say that  $t_2$  and  $t_3$  are equivalent if and only if:

(Def.9) For every  $w$  holds (the InitS of  $t_2, w$ )-response = (the InitS of  $t_3, w$ )-response.

Let us observe that the predicate introduced above is reflexive and symmetric.

We now state the proposition

- (30) If  $t_2$  and  $t_3$  are equivalent and  $t_3$  and  $t_4$  are equivalent, then  $t_2$  and  $t_4$  are equivalent.

Let us consider  $I_1, O_1, t_1, q_8, q_9$ . We say that  $q_8$  and  $q_9$  are equivalent if and only if:

(Def.10) For every  $w$  holds  $(q_8, w)$ -response =  $(q_9, w)$ -response.

We now state several propositions:

- (31)  $q$  and  $q$  are equivalent.
- (32) If  $q_1$  and  $q_2$  are equivalent, then  $q_2$  and  $q_1$  are equivalent.
- (33) If  $q_1$  and  $q_2$  are equivalent and  $q_2$  and  $q_7$  are equivalent, then  $q_1$  and  $q_7$  are equivalent.
- (34) If  $q'_1 = (\text{the Tran of } t_1)(\langle q_8, s \rangle)$ , then for every  $i$  such that  $i \in \text{Seg}(\text{len } w + 1)$  holds  $(q_8, \langle s \rangle \wedge w)$ -admissible( $i + 1$ ) =  $(q'_1, w)$ -admissible( $i$ ).
- (35) If  $q'_1 = (\text{the Tran of } t_1)(\langle q_8, s \rangle)$ , then  $(q_8, \langle s \rangle \wedge w)$ -response =  $\langle\langle$ the OFun of  $t_1$  $\rangle\rangle(\langle q_8, s \rangle) \wedge (q'_1, w)$ -response.
- (36)  $q_8$  and  $q_9$  are equivalent if and only if for every  $s$  holds (the OFun of  $t_1$  $\rangle\rangle(\langle q_8, s \rangle) = (\text{the OFun of } t_1)(\langle q_9, s \rangle)$  and (the Tran of  $t_1$  $\rangle\rangle(\langle q_8, s \rangle)$  and (the Tran of  $t_1$  $\rangle\rangle(\langle q_9, s \rangle)$  are equivalent.
- (37) Suppose  $q_8$  and  $q_9$  are equivalent. Given  $w, i$ . Suppose  $i \in \text{dom } w$ . Then there exist states  $q_{16}, q_{17}$  of  $t_1$  such that  $q_{16} = (q_8, w)$ -admissible( $i$ ) and  $q_{17} = (q_9, w)$ -admissible( $i$ ) and  $q_{16}$  and  $q_{17}$  are equivalent.

Let us consider  $I_1, O_1, t_1, q_8, q_9, k$ . We say that  $q_8$  and  $q_9$  are  $k$ -equivalent if and only if:

(Def.11) For every  $w$  such that  $\text{len } w \leq k$  holds  $(q_8, w)$ -response =  $(q_9, w)$ -response.

One can prove the following propositions:

- (38)  $q_8$  and  $q_9$  are  $k$ -equivalent.
- (39) If  $q_8$  and  $q_9$  are  $k$ -equivalent, then  $q_9$  and  $q_8$  are  $k$ -equivalent.
- (40) If  $q_8$  and  $q_9$  are  $k$ -equivalent and  $q_9$  and  $q_{10}$  are  $k$ -equivalent, then  $q_8$  and  $q_{10}$  are  $k$ -equivalent.
- (41) If  $q_8$  and  $q_9$  are equivalent, then  $q_8$  and  $q_9$  are  $k$ -equivalent.
- (42)  $q_8$  and  $q_9$  are 0-equivalent.
- (43) If  $q_8$  and  $q_9$  are  $k + m$ -equivalent, then  $q_8$  and  $q_9$  are  $k$ -equivalent.
- (44) Suppose  $1 \leq k$ . Then  $q_8$  and  $q_9$  are  $k$ -equivalent if and only if the following conditions are satisfied:
  - (i)  $q_8$  and  $q_9$  are 1-equivalent, and
  - (ii) for every element  $s$  of  $I_1$  and for every natural number  $k_1$  such that  $k_1 = k - 1$  holds (the Tran of  $t_1$ )( $\langle q_8, s \rangle$ ) and (the Tran of  $t_1$ )( $\langle q_9, s \rangle$ ) are  $k_1$ -equivalent.

Let us consider  $I_1, O_1, t_1, i$ . The functor  $i$ -EqS-Rel( $t_1$ ) yielding an equivalence relation of the states of  $t_1$  is defined as follows:

(Def.12) For all  $q_8, q_9$  holds  $\langle q_8, q_9 \rangle \in i$ -EqS-Rel( $t_1$ ) iff  $q_8$  and  $q_9$  are  $i$ -equivalent.

Let us consider  $I_1, O_1, t_1, i$ . The functor  $i$ -EqS-Part( $t_1$ ) yields a non empty finite partition of the states of  $t_1$  and is defined by:

(Def.13)  $i$ -EqS-Part( $t_1$ ) = Classes( $i$ -EqS-Rel( $t_1$ )).

One can prove the following propositions:

- (45)  $(k + 1)$ -EqS-Part( $t_1$ ) is finer than  $k$ -EqS-Part( $t_1$ ).
- (46) If Classes( $k$ -EqS-Rel( $t_1$ )) = Classes( $(k + 1)$ -EqS-Rel( $t_1$ )), then for every  $m$  holds Classes( $(k + m)$ -EqS-Rel( $t_1$ )) = Classes( $k$ -EqS-Rel( $t_1$ )).
- (47) If  $k$ -EqS-Part( $t_1$ ) =  $(k + 1)$ -EqS-Part( $t_1$ ), then for every  $m$  holds  $(k + m)$ -EqS-Part( $t_1$ ) =  $k$ -EqS-Part( $t_1$ ).
- (48) If  $(k + 1)$ -EqS-Part( $t_1$ )  $\neq$   $k$ -EqS-Part( $t_1$ ), then for every  $i$  such that  $i \leq k$  holds  $(i + 1)$ -EqS-Part( $t_1$ )  $\neq$   $i$ -EqS-Part( $t_1$ ).
- (49)  $k$ -EqS-Part( $t_1$ ) =  $(k + 1)$ -EqS-Part( $t_1$ ) or  $\text{card}(k$ -EqS-Part( $t_1$ )) <  $\text{card}((k + 1)$ -EqS-Part( $t_1$ )).
- (50)  $[q]_{0$ -EqS-Rel( $t_1$ ) = the states of  $t_1$ .
- (51) 0-EqS-Part( $t_1$ ) = {the states of  $t_1$ }.
- (52) If  $n + 1 = \text{card}(\text{the states of } t_1)$ , then  $(n + 1)$ -EqS-Part( $t_1$ ) =  $n$ -EqS-Part( $t_1$ ).

Let us consider  $I_1, O_1, t_1$ . A partition of the states of  $t_1$  is final if:

(Def.14) For all  $q_8, q_9$  holds  $q_8$  and  $q_9$  are equivalent iff there exists an element  $X$  of it such that  $q_8 \in X$  and  $q_9 \in X$ .

Next we state three propositions:

- (53) If  $k$ -EqS-Part( $t_1$ ) is final, then  $(k + 1)$ -EqS-Rel( $t_1$ ) =  $k$ -EqS-Rel( $t_1$ ).

(54)  $k\text{-EqS-Part}(t_1) = (k + 1)\text{-EqS-Part}(t_1)$  iff  $k\text{-EqS-Part}(t_1)$  is final.

(55) If  $n + 1 = \text{card}(\text{the states of } t_1)$ , then there exists a natural number  $k$  such that  $k \leq n$  and  $k\text{-EqS-Part}(t_1)$  is final.

Let us consider  $I_1, O_1, t_1$ . The functor  $\text{final-Partition}(t_1)$  yields a partition of the states of  $t_1$  and is defined by:

(Def.15)  $\text{final-Partition}(t_1)$  is final.

We now state the proposition

(56) If  $n + 1 = \text{card}(\text{the states of } t_1)$ , then  $\text{final-Partition}(t_1) = n\text{-EqS-Part}(t_1)$ .

## 5. THE REDUCTION OF A MEALY MACHINE

In the sequel  $r_1$  will be a Mealy-FSM over  $I_1, O_1$ ,  $q_{18}$  will be a state of  $r_1$ , and  $q_{19}$  will be an element of  $\text{final-Partition}(t_1)$ .

Let us consider  $I_1, O_1, t_1, q_{19}, s$ . The functor  $(s, q_{19})\text{-C-succ}$  yields an element of  $\text{final-Partition}(t_1)$  and is defined by:

(Def.16) There exist  $q, n$  such that  $q \in q_{19}$  and  $n + 1 = \text{card}(\text{the states of } t_1)$  and  $(s, q_{19})\text{-C-succ} = [(\text{the Tran of } t_1)(\langle q, s \rangle)]_{n\text{-EqS-Rel}(t_1)}$ .

Let us consider  $I_1, O_1, t_1, q_{19}, s$ . The functor  $(q_{19}, s)\text{-C-response}$  yielding an element of  $O_1$  is defined by:

(Def.17) There exists  $q$  such that  $q \in q_{19}$  and  $(q_{19}, s)\text{-C-response} = (\text{the OFun of } t_1)(\langle q, s \rangle)$ .

Let us consider  $I_1, O_1, t_1$ . The reduction of  $t_1$  yielding a strict Mealy-FSM over  $I_1, O_1$  is defined by the conditions (Def.18).

(Def.18) (i) The states of the reduction of  $t_1 = \text{final-Partition}(t_1)$ ,  
(ii) for every state  $Q$  of the reduction of  $t_1$  and for all  $s, q$  such that  $q \in Q$  holds  $(\text{the Tran of } t_1)(\langle q, s \rangle) \in (\text{the Tran of the reduction of } t_1)(\langle Q, s \rangle)$  and  $(\text{the OFun of } t_1)(\langle q, s \rangle) = (\text{the OFun of the reduction of } t_1)(\langle Q, s \rangle)$ , and  
(iii) the  $\text{InitS}$  of  $t_1 \in$  the  $\text{InitS}$  of the reduction of  $t_1$ .

The following two propositions are true:

(57) If  $r_1 =$  the reduction of  $t_1$  and  $q \in q_{18}$ , then for every  $k$  such that  $k \in \text{Seg}(\text{len } w + 1)$  holds  $(q, w)\text{-admissible}(k) \in (q_{18}, w)\text{-admissible}(k)$ .

(58)  $t_1$  and the reduction of  $t_1$  are equivalent.

## 6. MACHINE ISOMORPHISM

In the sequel  $q_{20}, q_{23}$  will denote states of  $r_1$  and  $T_1$  will denote a function from the states of  $t_2$  into the states of  $t_3$ .

Let us consider  $I_1, O_1, t_2, t_3$ . We say that  $t_2$  and  $t_3$  are isomorphic if and only if the condition (Def.19) is satisfied.

- (Def.19) There exists  $T_1$  such that
- (i)  $T_1$  is bijective,
  - (ii)  $T_1(\text{the InitS of } t_2) = \text{the InitS of } t_3$ , and
  - (iii) for all  $q_{14}, s$  holds  $T_1(\text{(the Tran of } t_2)(\langle q_{14}, s \rangle)) = \text{(the Tran of } t_3)(\langle T_1(q_{14}), s \rangle)$  and  $\text{(the OFun of } t_2)(\langle q_{14}, s \rangle) = \text{(the OFun of } t_3)(\langle T_1(q_{14}), s \rangle)$ .

Let us observe that the predicate introduced above is reflexive and symmetric.

We now state four propositions:

- (59) If  $t_2$  and  $t_3$  are isomorphic and  $t_3$  and  $t_4$  are isomorphic, then  $t_2$  and  $t_4$  are isomorphic.
- (60) Suppose that for every state  $q$  of  $t_2$  and for every  $s$  holds  $T_1(\text{(the Tran of } t_2)(\langle q, s \rangle)) = \text{(the Tran of } t_3)(\langle T_1(q), s \rangle)$ . Given  $k$ . If  $1 \leq k$  and  $k \leq \text{len } w + 1$ , then  $T_1(\text{(} q_{14}, w \text{)-admissible}(k)) = \text{(} T_1(q_{14}), w \text{)-admissible}(k)$ .
- (61) Suppose that
  - (i)  $T_1(\text{the InitS of } t_2) = \text{the InitS of } t_3$ , and
  - (ii) for every state  $q$  of  $t_2$  and for every  $s$  holds  $T_1(\text{(the Tran of } t_2)(\langle q, s \rangle)) = \text{(the Tran of } t_3)(\langle T_1(q), s \rangle)$  and  $\text{(the OFun of } t_2)(\langle q, s \rangle) = \text{(the OFun of } t_3)(\langle T_1(q), s \rangle)$ .
Then  $q_{14}$  and  $q_{15}$  are equivalent if and only if  $T_1(q_{14})$  and  $T_1(q_{15})$  are equivalent.
- (62) If  $r_1 = \text{the reduction of } t_1$  and  $q_{20} \neq q_{23}$ , then  $q_{20}$  and  $q_{23}$  are not equivalent.

## 7. REDUCED AND CONNECTED MACHINES

Let  $I_1, O_1$  be non empty sets. A Mealy-FSM over  $I_1, O_1$  is reduced if:

- (Def.20) For all states  $q_8, q_9$  of it such that  $q_8 \neq q_9$  holds  $q_8$  and  $q_9$  are not equivalent.

One can prove the following proposition

- (63) The reduction of  $t_1$  is reduced.

Let us consider  $I_1, O_1$ . Note that there exists a Mealy-FSM over  $I_1, O_1$  which is reduced.

In the sequel  $R_1$  will denote a reduced Mealy-FSM over  $I_1, O_1$ .

Next we state two propositions:

- (64)  $R_1$  and the reduction of  $R_1$  are isomorphic.
- (65)  $t_1$  is reduced iff there exists a Mealy-FSM  $M$  over  $I_1, O_1$  such that  $t_1$  and the reduction of  $M$  are isomorphic.

Let us consider  $I_1, O_1, t_1$ . A state of  $t_1$  is accessible if:

- (Def.21) There exists  $w$  such that the InitS of  $t_1 \xrightarrow{w}$  it.



Let us consider  $I_1, O_1$ . A Mealy-FSM over  $I_1, O_1$  is connected if:

(Def.22) Every state of it is accessible.

Let us consider  $I_1, O_1$ . One can check that there exists a Mealy-FSM over  $I_1, O_1$  which is connected.

In the sequel  $C_1, C_2, C_3$  will be connected Mealy-FSM over  $I_1, O_1$ .

We now state the proposition

(66) The reduction of  $C_1$  is connected.

Let us consider  $I_1, O_1$ . Note that there exists a Mealy-FSM over  $I_1, O_1$  which is connected and reduced.

Let us consider  $I_1, O_1, t_1$ . The functor  $\text{accessible-States}(t_1)$  yields a finite non empty set and is defined as follows:

(Def.23)  $\text{accessible-States}(t_1) = \{q : q \text{ ranges over states of } t_1, q \text{ is accessible}\}$ .

The following propositions are true:

(67)  $\text{accessible-States}(t_1) \subseteq$  the states of  $t_1$  and for every  $q$  holds  $q \in \text{accessible-States}(t_1)$  iff  $q$  is accessible.

(68) (The Tran of  $t_1$ )  $\upharpoonright$   $[\text{accessible-States}(t_1), I_1]$  is a function from  $[\text{accessible-States}(t_1), I_1]$  into  $\text{accessible-States}(t_1)$ .

(69) Let  $c_1$  be a function from  $[\text{accessible-States}(t_1), I_1]$  into  $\text{accessible-States}(t_1)$ , and let  $c_2$  be a function from  $[\text{accessible-States}(t_1), I_1]$  into  $O_1$ , and let  $c_3$  be an element of  $\text{accessible-States}(t_1)$ . Suppose  $c_1 = (\text{the Tran of } t_1) \upharpoonright [\text{accessible-States}(t_1), I_1]$  and  $c_2 = (\text{the OFun of } t_1) \upharpoonright [\text{accessible-States}(t_1), I_1]$  and  $c_3 = \text{the InitS of } t_1$ . Then  $t_1$  and Mealy-FSM $\langle \text{accessible-States}(t_1), c_1, c_2, c_3 \rangle$  are equivalent.

(70) There exists  $C_1$  such that

- (i) the Tran of  $C_1 = (\text{the Tran of } t_1) \upharpoonright [\text{accessible-States}(t_1), I_1]$ ,
- (ii) the OFun of  $C_1 = (\text{the OFun of } t_1) \upharpoonright [\text{accessible-States}(t_1), I_1]$ ,
- (iii) the InitS of  $C_1 = \text{the InitS of } t_1$ , and
- (iv)  $t_1$  and  $C_1$  are equivalent.

## 8. MACHINE UNION

Let us consider  $I_1, O_1, t_2, t_3$ . The functor Mealy-U( $t_2, t_3$ ) yields a strict Mealy-FSM over  $I_1, O_1$  and is defined by the conditions (Def.24).

(Def.24) (i) The states of Mealy-U( $t_2, t_3$ ) = (the states of  $t_2$ )  $\cup$  (the states of  $t_3$ ),  
(ii) the Tran of Mealy-U( $t_2, t_3$ ) = (the Tran of  $t_2$ )  $+\cdot$  (the Tran of  $t_3$ ),  
(iii) the OFun of Mealy-U( $t_2, t_3$ ) = (the OFun of  $t_2$ )  $+\cdot$  (the OFun of  $t_3$ ),  
and  
(iv) the InitS of Mealy-U( $t_2, t_3$ ) = the InitS of  $t_2$ .

One can prove the following propositions:

(71) If  $t_1 = \text{Mealy-U}(t_2, t_3)$  and (the states of  $t_2$ )  $\cap$  (the states of  $t_3$ ) =  $\emptyset$  and  $q_{14} = q$ , then  $(q_{14}, w)$ -admissible =  $(q, w)$ -admissible.

- (72) If  $t_1 = \text{Mealy-U}(t_2, t_3)$  and  $(\text{the states of } t_2) \cap (\text{the states of } t_3) = \emptyset$  and  $q_{14} = q$ , then  $(q_{14}, w)$ -response =  $(q, w)$ -response.
- (73) If  $t_1 = \text{Mealy-U}(t_2, t_3)$  and  $(\text{the states of } t_2) \cap (\text{the states of } t_3) = \emptyset$  and  $q_{21} = q$ , then  $(q_{21}, w)$ -admissible =  $(q, w)$ -admissible.
- (74) If  $t_1 = \text{Mealy-U}(t_2, t_3)$  and  $(\text{the states of } t_2) \cap (\text{the states of } t_3) = \emptyset$  and  $q_{21} = q$ , then  $(q_{21}, w)$ -response =  $(q, w)$ -response.

In the sequel  $R_2, R_3$  will be reduced Mealy-FSM over  $I_1, O_1$ .

The following proposition is true

- (75) Suppose  $t_1 = \text{Mealy-U}(R_2, R_3)$  and  $(\text{the states of } R_2) \cap (\text{the states of } R_3) = \emptyset$  and  $R_2$  and  $R_3$  are equivalent. Then there exists a state  $Q$  of the reduction of  $t_1$  such that the InitS of  $R_2 \in Q$  and the InitS of  $R_3 \in Q$  and  $Q = \text{the InitS of the reduction of } t_1$ .

For simplicity we follow a convention:  $C_4, C_5$  will denote connected reduced Mealy-FSM over  $I_1, O_1$ ,  $c_{11}, c_{12}$  will denote states of  $C_4$ ,  $c_{21}, c_{22}$  will denote states of  $C_5$ , and  $q_{24}, q_{25}$  will denote states of  $t_1$ .

The following propositions are true:

- (76) Suppose that
- (i)  $c_{11} = q_{24}$ ,
  - (ii)  $c_{12} = q_{25}$ ,
  - (iii)  $(\text{the states of } C_4) \cap (\text{the states of } C_5) = \emptyset$ ,
  - (iv)  $C_4$  and  $C_5$  are equivalent,
  - (v)  $t_1 = \text{Mealy-U}(C_4, C_5)$ , and
  - (vi)  $c_{11}$  and  $c_{12}$  are not equivalent.

Then  $q_{24}$  and  $q_{25}$  are not equivalent.

- (77) Suppose that
- (i)  $c_{21} = q_{24}$ ,
  - (ii)  $c_{22} = q_{25}$ ,
  - (iii)  $(\text{the states of } C_4) \cap (\text{the states of } C_5) = \emptyset$ ,
  - (iv)  $C_4$  and  $C_5$  are equivalent,
  - (v)  $t_1 = \text{Mealy-U}(C_4, C_5)$ , and
  - (vi)  $c_{21}$  and  $c_{22}$  are not equivalent.

Then  $q_{24}$  and  $q_{25}$  are not equivalent.

- (78) Suppose  $(\text{the states of } C_4) \cap (\text{the states of } C_5) = \emptyset$  and  $C_4$  and  $C_5$  are equivalent and  $t_1 = \text{Mealy-U}(C_4, C_5)$ . Let  $Q$  be a state of the reduction of  $t_1$ . Then there do not exist elements  $q_1, q_2$  of  $Q$  such that  $q_1 \in \text{the states of } C_4$  and  $q_2 \in \text{the states of } C_4$  and  $q_1 \neq q_2$ .
- (79) Suppose  $(\text{the states of } C_4) \cap (\text{the states of } C_5) = \emptyset$  and  $C_4$  and  $C_5$  are equivalent and  $t_1 = \text{Mealy-U}(C_4, C_5)$ . Let  $Q$  be a state of the reduction of  $t_1$ . Then there do not exist elements  $q_1, q_2$  of  $Q$  such that  $q_1 \in \text{the states of } C_5$  and  $q_2 \in \text{the states of } C_5$  and  $q_1 \neq q_2$ .
- (80) Suppose  $(\text{the states of } C_4) \cap (\text{the states of } C_5) = \emptyset$  and  $C_4$  and  $C_5$  are equivalent and  $t_1 = \text{Mealy-U}(C_4, C_5)$ . Let  $Q$  be a state of the reduction of  $t_1$ . Then there exist elements  $q_1, q_2$  of  $Q$  such that  $q_1 \in \text{the states of}$

$C_4$  and  $q_2 \in$  the states of  $C_5$  and for every element  $q$  of  $Q$  holds  $q = q_1$  or  $q = q_2$ .

## 9. THE MINIMIZATION THEOREM

We now state several propositions:

- (81) There exist Mealy-FSM  $f_4, f_5$  over  $I_1, O_1$  such that (the states of  $f_4$ )  $\cap$  (the states of  $f_5$ ) =  $\emptyset$  and  $f_4$  and  $t_2$  are isomorphic and  $f_5$  and  $t_3$  are isomorphic.
- (82) If  $t_2$  and  $t_3$  are isomorphic, then  $t_2$  and  $t_3$  are equivalent.
- (83) If (the states of  $C_4$ )  $\cap$  (the states of  $C_5$ ) =  $\emptyset$  and  $C_4$  and  $C_5$  are equivalent, then  $C_4$  and  $C_5$  are isomorphic.
- (84) If  $C_2$  and  $C_3$  are equivalent, then the reduction of  $C_2$  and the reduction of  $C_3$  are isomorphic.
- (85) Let  $M_1, M_2$  be connected reduced Mealy-FSM over  $I_1, O_1$ . Then  $M_1$  and  $M_2$  are isomorphic if and only if  $M_1$  and  $M_2$  are equivalent.

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